



600 South Avenue West . Westfield, New Jersey 07090 908.654.5000 • FAX 908.654.7866 • WWW.LDLKM.COM

PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

June 23, 2006

Commissioner For Patents Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Our File: ISLER 3.3-005

Appln. No. 10/550,233

Filing Date: September 22, 2005

Group Art Unit: 3753

Dear Sir:

We are enclosing herewith copies of the filing receipt, executed Declaration and Application Data Sheet for the above-identified patent application.

Please note that the "Foreign Applications" section should read as follows:

--Switzerland 52<u>1</u>/03 03/26/2003--

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ

Reg. No. 25,428

AHK/ls Enclosures



ES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. DOX 1450 Alexandria, Virginia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND-CLMS
10/550,233	09/22/2005	3753	630	ISLER 3.3-005	3	12	1,

CONFIRMATION NO. 1767

FILING RECEIPT

OC000000018882500

530 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK 600 SOUTH AVENUE WEST WESTFIELD, NJ 07090

Date Mailed: 05/22/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Urs A. Weidmann, Cham, SWITZERLAND;

Assignment For Published Patent Application

MENTUS HOLDING AG, CHAM, SWITZERLAND

Power of Attorney: The patent practitioners associated with Customer Number 530.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/CH04/00173 03/22/2004

Foreign Applications

Acceptable Request to Retrieve Priority Application Received?

SWITZERLAND 52/03 03/26/2003

NO

If Required, Foreign Filing License Granted: 05/19/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/550,233

Projected Publication Date: 08/31/2006

Non-Publication Request: No

JUN 02 2006

RECUED

Early Publication Request: No

** SMALL ENTITY **

Title

Plate heat exchanger

Preliminary Class

165

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



priority is claimed:

	,	•	
Attomov Dooket No :		•	
Attomey Docket No.:			

				1	
Combined Declaration and Power of At	torney				
As below named inventor, I hereby declare that:				. •	
My residence, post office address and citizenship a	re as stated below no	ext to my name,			
				.*	
I believe I am the original, first and sole inventor (if	only one name is list	ed below) or an	original, first an	d joint inve	ntor (if
plural names are listed below) of the subject matter	which is claimed and	d for which a pat	ent is sought o	n the inven	tion
entitled:					
		•			
Plate heat exchanger					
_					
the specification of which:	· ·				
				1 '	
is attached hereto; or		4.0			
was filed as United States application Serial No.			on		* - 1
and	d was amended on _		· · · · · · · · · · · · · · · · · · ·		(if
applicable); or					
				•_	
was filed as PCT international application Number	PCT/CH 2004/0	00173 on Mai	ch 22, 200	4 and was	amended
under PCT Article 19 on		(if applicable).			
•					
I hereby state that I have reviewed and understand	the contents of the a	above-identified	specification, in	icluding the	e claims, a
amended by any amendment referred to above.		•			
I acknowledge duty to disclose to the U.S. Patent a				o tne paten	itability of
claims presented in this application in accordance v	with Title 37, Code of	Federal Regula	tions, §1.56.		
		04404.37.3	. 0005(1) - 6		•
I hereby claim foreign priority benefits under Title 3					
application(s) for patent or inventor's certificate or §				_	
country other than the United States of America list			7 - 7		
patent or inventor's certificate or any PCT internation	onal application(s) de	esignating at lea	st one country	other than	the Unite
States of America filed by me on the same subject	matter having a filing	date before tha	t of the applica	tion(s) of w	/hich

Prior foreign Application(s):					
Country (if PCT, indicate PCT)	Application Number	Date of Filing (day, month, year)	Priority claimed		
Switzerland	0521/03	March 26, 2003	⊠ Yes □ No		
·	3		☐ Yes ☐ No		

Attorney Docket No.:	 	

I hereby claim the benefits under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

U.S. Provisional Applications				
U.S. Provisional Application No.		U.S. Filing Date		-
	,			

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or §365(c) of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to the patentability of claims presented in this application in accordance with Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

U.S. Applications				Status (Check one)			
				patented	pending	abandoned	

Power of Attorney: As a named inventor, I hereby appoint the registered practitioners of

Lerner, David, Littenberg, Krumholz & Mentlik 600 South Avenue West Westfield, NJ 07090-1497

included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number.

Customer Number:

000530

Direct Telephone Calls to:

001 908 654 5000

(name and telephone number)

Page 2 of 3

P145613



Attorney Docket No.:	-	<u>:</u>

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Sole or First Inventor	Inventor's Signature	Date 30 1
WEIDMANN, Urs A.		30 June 2005
Address Gewerbestrasse 11		Country of Citizenship Switzerland
6330 Cham Switzerland		
Full Name of Second Inventor	Inventor's Signature	Date
Address		Country of Citizenship
Full Name of Third Inventor	Inventor's Signature	Date
Address		Country of Citizenship
		1
		T-
Full Name of Fourth Inventor	Inventor's Signature	Date
Full Name of Fourth Inventor Address	Inventor's Signature	Date Country of Citizenship
	Inventor's Signature	
	Inventor's Signature	
	Inventor's Signature Inventor's Signature	
Address		Country of Citizenship
Address Full Name of Fifth Inventor		Country of Citizenship Date
Address Full Name of Fifth Inventor		Country of Citizenship Date
Address Full Name of Fifth Inventor		Country of Citizenship Date
Address Full Name of Fifth Inventor Address	Inventor's Signature	Country of Citizenship Date Country of Citizenship
Address Full Name of Fifth Inventor Address Full Name of Sixth Inventor	Inventor's Signature	Country of Citizenship Date Country of Citizenship Date



Application Data Sheet

Application Information

Application Type:: Regular

Subject Matter:: Utility

Suggested Group Art Unit:: N/A

CD-ROM or CD-R?:: None

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Title:: PLATE HEAT EXCHANGER

Attorney Docket Number:: ISLER 3.3-005

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure:: 3

Total Drawing Sheets:: 3

Small Entity?:: Yes

Petition included?:: No

Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Switzerland

Status:: Full Capacity

Given Name:: Urs

Middle Name:: A.

Family Name:: Weidmann

City of Residence:: Cham

Country of Residence:: Switzerland

Street of mailing address:: Gewerbestrasse 11

City of mailing address:: Cham

Country of mailing address:: Switzerland

Postal or Zip Code of mailing address:: CH-6330

Correspondence Information

Correspondence Customer Number::

000530

Representative Information

Representative Customer Number::

000530

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/CH2004/0001	03/22/04
		73	

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
Switzerland	521/03	03/26/03	Yes
			1

Assignee Information

Assignee name::

Mentus Holding AG

Street of mailing address::

Gewerbestrasse 11

City of mailing address::

Cham

Country of mailing address::

Switzerland

Postal or Zip Code of mailing address::

CH-6330